Cause in Russian and the formal typology of coordination and subordination

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4.1 Introduction

Coordination and subordination has been a long-standing problem in syntactic typology.¹ While traditional grammar views it as a binary opposition, there are lots of typological data which put such a simple view of the problem into question. Various tests that have been proposed in the literature do not match for individual constructions in individual languages (Zaliznyak & Paducheva 1975, van Oirsouw 1987, Haspelmath 1995, 2004, Kazenin & Testelets 2004). The exceptions fall into two broad categories, conveniently named **pseudocoordination** and **pseudosubordination** in Yuasa & Sadock (2002).

Pseudocoordination involves an otherwise coordinating conjunction or construction being used in a context involving subordination-like semantics or function. A familiar example of pseudocoordination is the so-called left-

¹ I am grateful to the audiences of Formal Approaches to Russian Linguistics (Moscow, 19–20 March 2014) and Coordination and Subordination in Lisbon (May 7–9, 2014), especially Denis Creissels, Ira Eberhardt, Martin Haspelmath, Caroline Heycock, Daniel Ross, and Uli Sauerland. I would also like to thank the Festschrift team — Peter Arkadiev, Ivan Kapitonov, Yury Lander, Ekaterina Rakhilina, Pavel Rudnev and Sergei Tatevosov — for their tireless work. Finally, nothing in this paper would have been possible without Barbara Partee, who introduced me to formal semantics back in 2009; life has not been the same ever since. Thank you, Barbara!

This research has been supported by the Russian Science Foundation, project no. 14-18-03270 "Word order typology, communicative-syntactic interface and information structure in world's languages".

subordinating and ($_{LS}and$) construction in English, describe in Culicover & Jackendoff (1997):

(1) You drink one more can of beer and I'm leaving.

In (1), conditional semantics is observed in spite of the use of the coordinating conjunction *and*. This construction is not merely functionally unusual. It also displays a number of subordination-like properties. For example, it cannot, unlike ordinary coordination, undergo right node raising:

- (2) a. Big Louie finds out about that guy who stole some loot from the gang, and Big Louie puts out a contract on him.
 (conditional meaning implied)
 - b. *Big Louie finds out about __, and Big Louie puts out a contract on __, that guy who stole some loot from the gang

(Culicover & Jackendoff 1997: 198-199)

Culicover & Jackendoff's explanation involves a mismatch between syntax and semantics. Such constructions are treated as being syntactically coordinating but semantically subordinating. This explains the fact that their linear-order properties are coordinating, while more semantically-oriented properties such as the possibility of additional ellipsis types are subordinating.

This approach is extended by Yuasa & Sadock (2002), who introduce the notion of "pseudosubordination" for mismatches of an opposite kind, i.e. when subordinating syntax coexists with coordinating semantics. There are two cross-linguistically widespread examples of such mismatches. One is the so-called "comitative coordination", especially widespread in Slavic and neighbouring languages. In this construction, the preposition 'with', which is subordinating in that it selects instrumental case, semantically behaves like a coordinating conjunction. This is especially apparent due to the fact that the verb agrees in plural:

(3) Petja **s** Vasej opozdali / * opozdal na urok. P.NOM with V.INS were.late.PL was.late.sG to lesson 'Petya and Vasya were late for the lesson.'

A second type of this mismatch involves converb constructions, which are syntactically subordinating in that they appear in morphologically deranked and syntactically independent form. However, in many languages they are used in coordination-like contexts such as clause chaining, and display certain coordinating properties:

- (4) a. *Takeshi-ga **kanojo**_i-no uchi-e it-**te Hanako**_i-ga Jiro-no uchi-e T.-nom her-gen house-to go-and H.-nom J.-gen house-to it-ta go-pst 'Takeshi went to her_i house, **and** Hanako_i went to Jiro's house.'
 - b. Takashi-ga **kanojo**_i-no uchi-e ik-u **mae-ni Hanako**_i-ga T.-nom her-gen house-to go-prs front-dat H.-nom

 Jiro-no uchi-e it-te shimat-ta

 J.-gen house-to go-ger have-pst

 'Before Takashi went to her_i house, Hanako_i had gone to Jiro's house.'

 (Yuasa & Sadock 2002: 96)

The mismatch approach to coordination and subordination is quite promising, as it allows us to establish a clear connection between the surface properties of constructions and their meanings (functions). Unfortunately, the notions "semantic coordination" and "semantic subordination" are themselves rather vague, and it is never explicitly stated how exactly the surface contrasts under discussion follow from the semantic differences. More precise definitions can be provided, but the resulting semantic classification inevitably ends up having significant differences from the traditional one.

A particularly good example concerns German causal clauses. This language has two principal causal subordinators: weil and denn. Clauses introduced by the former display verb-final word order, typical for subordinate clauses in German, while clauses introduced by the latter display verb-second word order, typical for main clauses, including main coordinate clauses. Therefore, syntatically, denn behaves like a coordinating conjunction. In Scheffler (2013), it is demonstrated that semantic properties of denn-clauses also correspond to coordination. In particular, the causal meaning introduced by this conjunction cannot be in the scope of negation or modal operators, or in narrow focus as an answer to a why question:

(5) A: Warum ist Otto zu Hause? B: Weil / * denn es regnet. 'A: Why is Otto at home? B: Because it's raining.' (Sohmiya 1975, cited from Scheffler 2013: 87)

Scheffler links this behaviour to the fact that the causal meaning expressed by *denn* is not an at-issue meaning, but a conventional implicature (CI) in the sense of Potts (2005). This explains its scopelessness and also brings it closer to coordinating conjunctions such as *and* or *but*, which display the same

properties as in ([germanq]) and have therefore been described since Grice (1975) as introducing CIs. In contrast, *weil* introduces an at-issue meaning, just like other subordinating connectives. Therefore, the notions semantic coordination and subordination can be defined in terms of the CI/at-issue dimensions. While the resulting classification is quite different from the traditional one, it is superior in that clear diagnostics can be provided for each of the clause combining types.

However, as defined in this way, semantic coordination and subordination do not seem to correspond to the same notions as employed in Yuasa & Sadock and Culicover & Jackendoff's work. Specifically, there are certain constructions which are "semantically subordinating" according to the CI/at-issue distinction, but are "semantically coordinating" according to the behaviour of Right Node Raising, the Coordinate Structure Constraint, etc. A partiular example of such a construction is the Ossetic causal pseudocoordinating construction, discussed in detail in Belyaev (2014). In this construction, the conjunction 3m3 'and' is used together with the dative form of the demonstrative w2j 'that' in a causal sense. This construction clearly involves an asserted at-issue causal meaning which can be questioned, negated, put in the scope of modal operators, etc. At the same time, long-distance dependencies in this construction (including the CSC to the extent that it can be tested for Ossetic) all behave according to the coordinating schema. Word order facts also point towards coordination.

At the same time, Ossetic has another pseudocoordinating construction, where the conjunction 3m3 'and' introduces complement clauses. This construction also has coordinating word order properties, but is fully subordinating according to both semantics and long-distance dependencies. Therefore, the data of Ossetic show that, if the mismatch approach is to be maintained, we need three levels instead of two at which the notions "coordination" and "subordination" are defined. In Belyaev (2014), I have proposed that this idea corresponds to the distinction drawn in some theories between two kinds of syntax: constituent structure and dependency-based structure, both distinct from semantics. In particular, exactly such a view of grammar is maintained in the framework of Lexical Functional Grammar (LFG, R. Kaplan & Bresnan 1982), which distinguishes between c-structure (constituent structure), f-structure (functional structure), and semantics. Accordingly, I have proposed naming the corresponding clause combining types as c-, f- and s-coordination and subordination. Formalization of these notions allows one to clearly delineate the tests used for each of the levels. There may be mismatches between different levels, but no mismatching data within a single level.

In this paper, I will demonstrate how the same distinction can be applied to causal constructions in Russian, making generalizations across surface data which have long been treated in separation. The analysis crucially depends on two key assumptions: first, a formal, truth-conditional view of meaning; second, a clear separation between syntax, semantics and their interface. Arguably, these assumptions are necessary prerequisites for any meaningful theory of clause combining.

4.2 Causal clauses in Russian

Russian has several causal subordinators. This paper will focus on three of them, *potomu čto* 'because' (by far the most frequent and least marked), *tak kak* 'as' and *poskol'ku* 'since':

- (6) Net, papa, ja vyjdu za nego zamuž, [**potomu čto** ljublju]. no daddy I will him marry because I.love 'No, daddy, I will marry him, **because** I love (him).' [RNC: Сергей Седов. Доброе сердце Робина // «Мурзилка», 2002]
- (7) U ètix rastenij nas interesujut tol'ko stebli, [tak kak list'ja ne at these plants us interest only stems as leaves are.not godjatsja dlja pletenija] appropriate for braiding 'Only the stems of these plants are interesting to us, as leaves are not appropriate for braiding.' [RNC: Елизавета Мельникова. Жатва на болоте (2003) // "Сад своими руками", 2003.09.15]
- (8) Otbirali kvalificirovannyx specialistov, [**poskol'ku** zdes' učit'sja bylo they.chose qualified specialists since here to.learn was ne u kogo].

 not from whom

'They chose qualified specialists, **since** there was no one to learn from here.' [RNC: Надежда Шагрова: «Я – мал» ищет единомышленников (2004) // «Экран и сцена», 2004.05.06]

All three subordinators eventually go back to two-word combinations, but their synchronic properties are different. *Potomu čto* consists of *potomu* 'for that reason' (< *po tomu* 'by that') and the general subordination marker *čto* 'that', and the two are still synchronically distinct, being separable both intonationally and in terms of linear order:

(9) Stranno i xorošo, i imenno **potomu** xorošo, [**čto** stranno]. strange and good and exactly for that good that strange 'It is strange and pleasant, and pleasant exactly **because** it is strange.' [RNC: И. Грекова. На испытаниях (1967)]

In Paducheva (1996), accordingly, two distinct variants of *potomu čto* are distinguished: "unified" ("нерасчленённый") and "split" ("расчленённый"). They certainly possess different properties in terms of information structure (the latter is normally used in focal contexts), but it is not clear whether they should be treated as distinct lexical items. For reasons of space, I will generally treat the two as variants of a single construction, pointing out the differences whenever necessary.

Tak kak consists of tak 'thus' and kak 'how', going back to a manner construction ('in the same way as X'), which still exists in the language in a different punctuational and prosodic form (tak, kak). The causal subordinator, however, has become considerably lexicalized and can no longer be treated as a free combination of these two words. In particular, tak and kak can be separated from each other in manner constructions, but not in the causal construction:

- (10) Ja **tak** obradovalsja, **kak** nikogda ran'še. I so became.happy how never before 'I became happy **like** never before.'
- (11) a. Ja obradovalsja, **tak kak** ty prišël. I became.happy as thou came
 - b. *Ja tak obradovalsja, kak ty prišël.'I became happy because you came.'

Finally, *poskol'ku* goes back to the combination of the preposition *po* 'via, by' and *skol'ko* 'how many', but is, like *tak kak*, no longer treated as a combination of two independent words. In addition to the causal meaning, this subordinator also retains its original degree meaning 'inasmuch as'.

In the majority of contexts, these subordinators are interchangeable, with only minor stylistic differences. However, their syntactic and semantic properties are quite different, and represent a challenge for the coordination–subordination dichotomy.

4.3 The properties of the subordinators

4.3.1 Linear order

4.3.1.1 Core constructions

Russian generally allows free **embedding**, and **preposing/postposing**, of adverbial and complement clauses, and this serves rather well as a test of coordination vs. subordination, cf. the following contrast:

- (12) a. [Kogda Petja prišël domoj], on lëg spat'.

 when P. came home he lay to.sleep
 - b. Petja lëg spat', [kogda prišël domoj].
 - Petja, [kogda prišël domoj], lëg spat'.
 'When Petya came home, he went to sleep.'
- (13) a. Petja prišël domoj i lëg spat'. P. came home and lay to.sleep
 - b. *Petja, i lëg spat', prišël domoj.
 - c. *I lëg spat', Petja prišël domoj.
 - d. #Petja lëg spat' i prišël domoj.
 'Petya came home and went to sleep.'

4.3.1.2 Causal constructions

According to this criterion, clauses headed by *tak kak* 'as' and *poskol'ku* 'since' are undoubtedly subordinate, being freely embeddable within the primary clause:

- (14) a. [**Tak kak** Petja pozval Vasju], on prišël. as P. called V.ACC he came
 - Vasja, [tak kak Petja ego pozval], prišël.
 'Vasya came, for Petya called him.'
- (15) a. [**Poskol'ku** Petja pozval Vasju], on prišël. since P. called V.ACC he came
 - Vasja, [poskol'ku Petja ego pozval], prišël.
 'Since Petya called Vasya, he came.'

Potomu čto 'because', however, is different: it does not allow embedding in either of its variants, and only marginally allows preposing.

- (16) a. ? [Potomu čto Petja pozval Vasju], on prišël.

 because P. called V.ACC he came
 - b. *Vasja, [potomu čto Petja ego pozval], prišël.
 'Vasya came because Petya called him.'
- (17) a. Vasja **potomu** ko mne prišël, [čto ja ego pozval]. V. for.that to me came that I him called
 - b. *Vasja **potomu** ko mne, [čto ja ego pozval], prišël.
 - c. * [**Čto** ja ego pozval], Vasja **potomu** ko mne prišël. 'Vasya came **because** Petya called him.'

There have been attempts to explain this behaviour of *potomu čto* by its information structure properties. In particular, it has been argued that this is due to the fact that clauses introduced by this connective always convey new information (Apresjan & Pekelis 2012). Its infelicitousness in clause-initial position, associated with topicality and presupposition, is thus explained. However, the impossibility of embedding is more difficult to explain in this way, as embedded clauses in Russian are not generally banned from conveying new information. In general, the information structure explanation is too weak: it does not predict the strong constraints on linear order shown above, and especially the constrasts between the different subordinators. It is more likely that a purely syntactic or construction-based explanation is to be pursued. For example, *potomu čto*-clauses may be attached at a higher structural level than other causal clauses, or may involve a coordinating structure altogether. This may, in turn, be related to their tendency to convey new information noted in the previous literature. I will provide my analysis of this behaviour below.

4.3.2 ATB, scope of mood, gapping

4.3.2.1 Core constructions

Another set of tests concerns the possibility of across the board (ATB) extraction, scope of subjunctive mood assigned by the matrix verb, and gapping. These are fairly robust diagnostics in Russian when it comes to canonical cases:

(18) Scope of mood

- a. Ja xoču, **čtoby**, [**kogda** ty prid**ëš'** domoj], ty lëg I want Purp when you come.**fut** home you lie.**sbjv** spat'. to.sleep
 - 'I want you to go (sbjv.) to sleep when you come (fut.) home.'
- b. Ja xoču, **čtoby** ty {priš**ël** / *prid**ëš'** } domoj **i** {lëg I want Purp you come.**sвјv** come.**fut** home and lie.**sвјv** / * ljaž**eš'** } spat'. lie.**fut** to.sleep

'I want you to come home and go to sleep.'

(19) ATB

- a. Čto Petja kupil __, a Vasja prodal __? what Petya bought and Vasya sold 'What did Petya buy and Vasya sell?'
- b. *Čto Petja kupil __, [kogda Vasja prodal __]?
 what Petya bought when Vasya sold
 ('What did Petya buy when Vasya sold?')

(20) gapping

- a. Pete podarili mašinku, **a** Maše kuklu. to.Petya they.gave toy.car and to.Masha doll 'Petya was given a toy car **and** Masha, a doll.'
- b. *Pete podarili mašinku, [kogda Maše kuklu].
 to.Petya they.gave toy.car when to.Masha doll
 ('Petya was given a toy car when Masha, a doll.')

ATB extraction is typically viewed as one of the consequences of the Coordinate Structure Constraint (CSC, Ross 1967), but while the two phenomena are related, I will show below that CSC behaves in a somewhat different way and does not necessarily reflect the syntactic difference between coordination and subordination.

4.3.2.2 Causal constructions

These criteria, unlike the linear order data, uniformly classify all the three causal constructions as being subordinating:

- (21) *Čto Petja vykinul __, { potomu čto / tak kak / poskol'ku } Vasja what Petya threw.away because for since Vasya slomal __? broke ('What did Petya throw away __because / for / since Vasya broke __?')
- (22) *Respublikancy polučili men'šinstvo mest, { potomu čto / tak kak / Republicans received minority of.seats because for poskol'ku } bol'šinstvo demokraty.

 since majority democrats

 ('The Republicans have received the majority of seats, because the democrats (received) the minority.') (modification of the example with *ibo* 'for' from Pekelis 2009: 115)
- budeš' ženit'sja na devuške, to (23)Esli tv ja xoču, **čtoby** ty vou will marry on girl then I want so.that you ženilsja na nej, { potomu čto / ? tak kak / poskol'ku } eë { marry.sBJV on her because for since her liubiš' / # liubil }. you.love.sbjv 'When you marry a girl, I want you to marry her because / for / since you love her. [And not because she's rich.]'

4.3.3 Semantic properties

4.3.3.1 Core constructions

Finally, there is a third set of tests, which concern the possibility of **putting the meaning expressed by the conjunction within the scope of some sentence-external operator**, or **focusing** it (e.g. as an answer to a question). This is generally possible for subordinating conjunctions but impossible for coordinating ones:

(24) focus

- Petja prišël, tol'ko [kogda ja ego pozval].
 Petya came only when I him called 'Petya came only when I called him.'
- * (Tol'ko) ja pozval Petju, (tol'ko) i on prišël.
 only I called Petya and he came
 ('(Only) I called Petya (only) and he came')

(25) negation

Petja prišël, **ne** [**kogda** ja ego pozval], a pozže. Petya came not when I him called but later 'Petya did **not** come **when** I called him, but later.'

- (26) negation
 - #Neverno, čto Maša umnaja, no krasivaja: èti kačestva ne false that Masha intelligent but beautiful these qualities not protivorečat drug drugu! contradict one another

('It is not the case that Masha is intelligent **but** beautiful: these qualities do not contradict each other!')

- (27) answer to question (narrow focus) (Why did Petya go away?)
 - a. OK Navernoe, Petja ušël, **potomu čto** Maša s nim ne probably Petya left because Masha with him not razgovarivala.

 spoke

'Petya probably left because Masha did not speak to him.'

b. # Navernoe, Maša ne razgovarivala s Petej, i on ušël.
 probably Masha not spoke with Petya and he left
 ('Probably Masha did not speak to Petya, and he left.')

A very robust diagnostic on focusing the linking relation has been proposed in Pekelis (2009), the *èto* 'this' / *vsë èto* 'all this' test for Russian:

- (28) Sovremennaja fotografija stala banal'noj, pritornoj i neinteresnoj, modern photography became banal luscious and uninteresting i vsë èto, potomu čto mnit sebja iskusstvom. and all this because considers itself art 'Modern photography has become banal, luscious and uninteresting, and all this, because it considers itself art.' (Pekelis 2009: 96)
- (29) Maša byla zanjata podgotovkoj k èkzamenu i k tomu že
 Masha was busy by.preparation to exam and in.addition
 prostužena. *{ Éto / vsë èto }, i my ne vzjali eë s soboj.
 having.cold this all this and we not took her with ourselves
 'Masha was busy preparing to the exam and in addition had a cold. *{ This /
 all this }, and we didn't take her with us.' (Pekelis 2009: 98)

Only subordinate clauses may be focused in this way.

4.3.3.2 Causal constructions

Causal constructions pattern in the following way. *Tak kak* cannot be used in the *èto* focus construction, while *potomu čto* can do so quite freely:

(30) this-focus

Asfal't mokryj, no **èto** {* **tak kak** / **potomu čto** } dožd' prošël. asphalt wet but this as because rain passed 'The asphalt is wet, but **this** (is) **because** it has been raining.'

Poskol'ku 'since' would sound admittedly strange in the above example, although not to the same extent as *tak kak* 'as'. But it is possible to come up with context where such a usage is plausible; a particularly good example is found in Pekelis (2009):

(31) this-focus (poskol'ku)

Mne bylo očen' zabavno, no **èto poskol'ku** ja znaju mnogix iz to.me was very funny but this since I know many of tex, o kom idët reč'.

those about whom goes speech

'It was very funny for me, but **this** (is) **since** I know many of those about whom the story is concerned.' (Pekelis 2009: 96)

Tak kak cannot be in the scope of negation under any circumstances, while *potomu čto*, in its "split" version, can:

(32) negation

- a. *Ja prišël, ne tak kak on menja priglasil, a sam po sebe.
 I came not as he me invited but on.my.own
- Ja prišël ne potomu, čto on menja priglasil, a sam po sebe.
 I came not because he me invited but on.my.own
 'I didn't come because he invited me, but on my own.'

Once again, *poskol'ku* is unnatural in this constructed example, but more natural-sounding corpus examples are readily available:

(33) negation (poskol'ku)

a. Bog zapovedal Adamu delať dobro i otyskivať ego s
 God commanded Adam to.do good and to.find it from
 točki zrenija dobra, a ne poskoľku ono protivopoložno
 point of.view of.good but not since it opposite
 zlu ...
 to.evil

'God commanded Adam to do good and find it from the point of view of good, and **not since** it is opposite to evil ...' (RNC: Oleg Aronson. Televizionnyj obraz, ili Podražanie Adamu // Neprikosnovennyj zapas, 2003.11.11)

b. Ved' vrač stroit dom ne kak vrač, a kak stroitel' i after.all doctor builds house not as doctor but as builder and sedym stanovitsja ne poskol'ku on vrač, a poskol'ku on gray becomes not since he doctor but since he brjunet.

dark.haired

'A doctor builds a house, not qua doctor, but qua housebuilder, and turns gray, **not qua** [he is a] doctor, but **qua** [he is] dark-haired.' (Aristotle. *Physics*, Book 1, Part 8, Russian translation by V. P. Karpov, English translation by R. P. Hardie and R. K. Gaye)

With tol'ko 'only', potomu čto 'because' and poskol'ku 'since' can be used, but not tak kak 'as':

(34) only-focus

a. Lužinym on zanimalsja **tol'ko poskol'ku** èto byl fenomen, —
by.Luzhin he occupied.self only since this was phenomenon
javlenie strannoe, neskol'ko urodlivoe, no obajatel'noe, kak
object strange somewhat ugly but charming as
krivye nogi taksy.
crooked legs of.dachshund

'He occupied himself with Luzhin **only because** he was a phenomenon: a strange, somewhat ugly, but charming object, like a dachshund's crooked legs.' (V. Nabokov, *Zaščita Lužina*, from Pekelis 2009: 46)

b. Lužinym on zanimalsja **tol'ko** { * tak kak / $^{\rm OK}$ potomu, čto } ...

Finally, *tak kak* cannot be used as an answer to a why-question, while *potomu čto* can:

in particular, in legal contexts:

(35) why-question (People with tuberculosis used to be sent to Crimea for treatment.)

Počemu? { Potomu čto / * tak kak } vozdux v Krymu volšebnyj. why because as air in Crimea magic

Udivitel'nyj. marvelous

'Why? Because the air in Crimea is magic. Marvelous.' [RNC: В Крыму будет

нечем дышать (2003) // «Криминальная хроника», 2003.07.24]

The use of *poskol'ku* as an answer to a why-question is somewhat marginal, but examples of this type can be found in very formal or bureaucratic language,

(36) (The clause used to say: "No one can be extradited to another state"; now it says: "A citizen of the Russian Federation cannot be extradited to another state".) Počemu? Poskol'ku zdes' reglamentiruetsja pravovoe položenie why since here is.regulated legal status graždan Rossijskoj Federacii, a ne voobšče vsex ljudej. of.citizens of.Russian Federation and not in.general of.all people 'Why? Because (lit. since) here [the Constitution] regulates the legal status of the citizens of the Russian Federation, not of all people in general.' (О.Г. Румянцев (ред.). Из истории создания Конституции Российской Федерации. Т. 3: 1992 год. Кн. 2. М.: Wolters Kluwer, 2008. С. 386)

To sum up, <code>poskol'ku</code> 'since' and <code>potomu</code> čto 'because' can be in the scope of external operators and in focus, while <code>tak</code> <code>kak</code> 'as' cannot. Thus, according to this test, <code>tak</code> <code>kak</code> is coordinating while <code>potomu</code> čto and <code>poskol'ku</code> are subordinating. This matches neither the linear order facts nor the tests related to ATB-extraction and the scope of mood.

4.3.4 Summary Summing up the above, we have the following distribution of features:

connective	linear order	extraction, mood	scope
potomu čto tak kak	coordination subordination	subordination	subordination coordination
tак как poskol'ku	subordination		subordination

If the two-level approach of Culicover & Jackendoff (1997) and Yuasa & Sadock (2002) is adopted, these results are problematic for several reasons. First, there

are not two but three clusters of features that have to be distinguished. Second, there are two different sets of "semantic" features (extraction and semantic scope) which do not align with each other. Third, all of the constructions involved are causal. This is a clearly asymmetrical relation which would be considered subordinating in all traditional approaches to this issue. Thus we either have to abandon the multi-level approach and the coordination–subordination distinction altogether as lacking predictive power, or acknowledge that there are indeed two semantic types of cause, coordinating and subordinating. In the latter case, the semantic definitions of coordination and subordination would have to be more complex than what Culicover & Jackendoff and Yuasa & Sadock propose.

4.4 Analysis

I believe that the optimal solution to this problem would be to maintain the multi-level approach of Culicover & Jackendoff (1997) and Yuasa & Sadock (2002), but distinguishing three levels instead of two. In particular, syntax has to be split into constituent structure and a more "functional" (dependency-based) level; at the same time, a separate semantic level must be distinguished. As argued in Belyaev (2014), this three-level distinction corresponds to the grammatical architecture of Lexical Functional Grammar (R. Kaplan & Bresnan 1982, Dalrymple 2001) with its distinction between c-structure (constituent structure), f-structure (functional, dependency-based structure) and semantics. In terminology, I have proposed distinguishing between the levels through prefixes, thus defining c-, f- and s-coordination and subordination. Each level corresponds to a distinct set of tests:

- **c-coordination** vs. **c-subordination**: linear order, embedding, position of the conjunction;
- **f-coordination** vs. **f-subordination**: ATB, gapping, scope of mood;
- **s-coordination** vs. **s-subordination**: scope of semantic operators, focusability.

In what follows I will show how exactly these properties follow from the structure of each of the levels, and why all three have to be distinguished.

4.4.1 Semantics

4.4.1.1 Conventional implicatures and discourse relations

In this section, I will demonstrate that only the tests on focusing the causal relation and the scope of negation, questions and modal operators are truly semantic. This idea is based on two different approaches to the meanings of coordinating constructions: the Gricean conventional implicature (CI) approach and the rhetorical relations approach.

The CI approach Since Grice (1975), meanings of conjunctions such as *but* are treated as CIs, although this has been contested (K. Bach 1999a). Indeed, coordinating relations are clearly not asserted, due to their scopelessness, including the impossibility of using a coordinating structure as an answer to a constituent question. But neither are they presupposed. For example, if the relation of contrast implied by *but* is (assessed as) false, this does not lead to the whole sentence lacking a truth value. Consider the following examples:

- (37) ('Is Dargwa a Nakh-Daghestanian language, **but** an ergative one?')
 - a. # Net, naxsko-dagestanskie jazyki vse èrgativnye!
 no Nakh-Daghestanian languages all are.ergative
 ('No, all Nakh-Daghestanian languages are ergative!')
 - oK Da, no v ètom net ničego strannogo.
 yes but in this is.not nothing strange
 'Yes, but there's nothing strange in it.'
- (38) The fact that Russian is SVO **but** lacks postpositions implies that it also has NGen word order.

In this case, the inappropriate use of *but* does not lead to presupposition failure.

Furthermore, a coordinating conjunction embedded in a complement clause may still be speaker-oriented:

(39) (John said: "Russian is SVO and lacks postpositions, so it follows that it has NGen word order". David, misremembering that prepositions are typical for SVO, retells:) John thinks that the fact that Russian is SVO but lacks postpositions implies that it also has NGen word order. (40) (John wants to cheat at the exam, but the speaker knows that it will be closely monitored and it's likely that cheaters will be caught.) John seems to think that he will be able to cheat but still pass the exam.

This behaviour is also typical for CIs but not for at-issue content.

The rhetorical relations approach But there are certain problems associated with the CI approach. One of them is that certain coordinating conjunctions have clear truth-conditional effects that cannot be said to belong to the CI level:

(41) Either he left her and she took to the bottle or she took to the bottle and he left her. (Carston 2002: 227)

Conventional implicatures are not predicted to cause such at-issue effects. A possible solution is an alternative analysis proposed in such works as Txurruka (2003) and Kobozeva (2010), where it is argued that English *and* and Russian *i* introduce rhetorical relations. This also concerns other coordinating conjunctions. For example, 'but' introduces the relation Contrast. If this analysis is accepted, the scopelessnes of coordinating conjunction is easily explained: since rhetorical relations are introduced at a higher level than ordinary predicates and, only serving to structure the discourse, do not introduce any new entailments, they cannot be negated, questioned or put under the scope of modal operators.

The two approaches, however different, make the same predictions concerning the behaviour of "semantically coordinating" and "semantically subordinating" constructions: coordinating meanings are expected to be scopeless and speaker-oriented, while subordinating meanings are expected to be atissue meanings (usually asserted). I will now consider how this distinction applies to causal constructions.

4.4.1.2 Two semantic types of cause

As mentioned in the introduction, there is a considerable body of literature distinguishing between several types of causal relations. A particular distinctions that interests us here is the distinction between "coordinating" and "subordinating" causal relations. German examples like (5) above from Scheffler (2013) show how the two causal connectives *weil* and *denn* are classified as being semantically subordinating and coordinating, respectively.

A further piece of evidence demonstrating that *denn* is closer to coordination than to subordination is that, unlike *weil*, it can be used to refer to the speech act of the main clause (42) and in the epistemic sense (43).

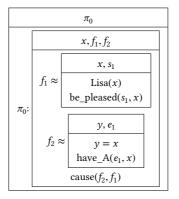
- (42) Ist vom Mittag noch etwas übrig? Denn / ?? weil ich schon wieder Hunger habe.
 'Is there anything left over from lunch? Because I'm already hungry again.' (Scheffler 2013: 52-53)
- (43) Es hat geregnet, **denn** / * **weil** die Straße ganz nass ist. 'It was raining, **because** the street is wet.' (Scheffler 2013: 53)

Within the tradition that views coordination as involving rhetorical relations, an analogous analysis of coordinating conjunctions has been proposed as early as Groupe λ -l (1975) for the French causal connectives *parce que* 'because' and *car* 'for', exemplified below:

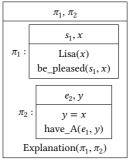
- (44) Lisa est contente peut-être { parce que / *car } elle a eu un A en maths. 'Lisa is pleased perhaps because / * for she has had an A in maths.'
- (45) Lisa n'est pas contente { parce que / * car } elle a eu un A en maths, mais { parce que / * car } il fait beau.
 'Lisa is not happy because / * for she has had an A in maths, but because / * for the weather is good.'

In Groupe λ -l (1975), it is argued that the chief difference between these connectives is that *parce que* introduces an assertive causal meaning while *car* only introduces a rhetorical relation. This analysis has been translated into Segmented Discourse Representation Theory (SDRT, Asher & Lascarides 2003) in Delort & Danlos (2005), who propose the following semantic representations for sentences involving these connectives:

(46) Lisa est contente parce qu'elle a eu un A en maths.



(47) Lisa est contente **car** elle a eu un A en maths.



 $(f_n \approx P \text{ is the shorthand notation for facts from Asher (1993)})$

4.4.1.3 The semantics of Russian causal clauses

As we can now see from the data in Section 4.3.3, the behaviour of Russian causal clauses fits into the pattern of there being two semantic types of cause. In this respect, *tak kak* demonstrates clearly coordinating behaviour. This behaviour of *tak kak* correlates with the possibility of it being used for "indirect reason" (Quirk et al. 1985) of various kinds, called "illocutionary cause" in the Russian tradition (Iordanskaja 1988, Pekelis 2014), something which is impossible for *poskol'ku*:

(48) On navernjaka ne spit, { tak kak / # poskol'ku } v ego okne he probably not sleeps as since in his window gorit svet.
burns light
'He's probably awake, as/*since there is light in his window.'

This agrees with earlier claims in the literature that this connective is associated with a more restricted kind of causal meaning, "logical implication" (Iordanskaja 1988).

Potomu čto may seem fully semantically subordinating based on the data in Section 4.3.3, but in fact, its behaviour is more complex. It can freely express indirect causation:

- (49) On navernjaka ne spit, **potomu čto** v ego okne gorit svet. he probably not sleeps because in his window burns light 'He's probably awake, **because** there is light in his window.' (Pekelis 2009: 9)
- (50) Prošël dožd', potomu čto asfal't mokryj. passed rain because asphalt wet 'It has been raining, because the asphalt is wet.'

But when *potomu čto* marks indirect or illocutive causation, it loses its semantically subordinating properties. It can no longer participate in the *èto*-focus:

- (51) a. Asfal't mokryj. **Èto potomu, čto** dožd' prošël. asphalt wet this because rain passed 'The asphalt is wet. **This** (is) **because** it has been raining.'
 - b. Dožd' prošël. # Èto potomu, čto asfal't mokryj.
 rain passed this because asphalt wet
 ('It has been raining. This (is) because the asphalt is wet.')

The causal meaning can no longer be in the scope of negation:

(52) a. Asfal't mokryj **ne potomu, čto** prošël dožd', a **potomu, čto** asphalt wet not because passed rain but because proexala polival'naja mašina.

went.by cleaning car

'The asphalt is wet **not because** it has been raining, but **because** a cleaning car passed by.'

b. # Dožď prošël ne potomu, čto asfal't mokryj, a potomu, čto rain passed not because asphalt wet but because s kryši kapaet.
 from roof drips

('It has been raining **not because** the asphalt is wet, but **because** water is dropping from the roof.')

Finally, "indirect" *potomu čto* cannot be in the scope of epistemic modals:

- (53) a. **Možet byt'**, asfal't mokryj **potomu, čto** prošël dožd'? maybe asphalt wet because passed rain '**Maybe** the asphalt is wet **because** it has been raining?'
 - b. # Možet byt', dožd' prošël potomu, čto asfal't mokryj? maybe rain passed because asphalt wet ('Maybe² it has been raining because the asphalt is wet?')

This leads us to the conclusion that, while *tak kak* is semantically coordinating and *poskol'ku* is semantically subordinating, *potomu čto* expresses both types of cause, which is reflected in the variation in its properties.

There are two additional observations that support this analysis. One of the is the behaviour of the Coordinate Structure Constraint. Above, I have only used ATB-movement as a criterion of syntactic coordination. This is not accidental, because, as long observed in the literature, the CSC in what concerns the availability of extraction from only one of the conjuncts is often violated (Lakoff 1986). In Kehler (2002), such violations are explained through discourse coherence relations. Similarly, within the approach advocated in this paper, the operation of CSC involves semantic, and not syntactic, coordination. This can be confirmed by the fact that extraction from the main clause is only possible when *potomu čto* 'because' is used to express cause in the narrow sense. In the following pair of examples, (a) is semantically subordinating (the fact of the beating implies the nose bleeding) while (b) is semantically coordinating (the speaker inferences the beating from the bleeding):

- (54) a. U Vasi krov' tečët iz nosu, **potomu čto** ego izbili. at Vasya blood runs from nose because him they.beat.up 'Vasya's nose is bleeding, **because** he was beaten up.'
 - b. Vasju izbili, potomu čto u nego krov' tečët iz nosu. Vasya they.beat.up because at him blood runs from nose 'Vasya was beaten up, because his nose is bleeding.'

Just as we expect if the CSC is assumed to be coordinating, wh-movement from the main clause is only possible in the first example. In (55b), the only interpretation available is that someone was beaten up due to his nose bleeding, which is clearly infelicitous.

- (55) a. U kogo krov' tečët iz nosu, **potomu čto** ego izbili? at whom blood runs from nose because him they.beat.up 'Whose nose is bleeding **because** he was beaten up?'
 - b. #Kogo izbili, potomu čto u nego krov' tečët iz nosu?
 whom they.beat.up because at him blood runs from nose
 ('Who was beaten up because his nose is bleeding?')

More information on formal differences between causal proper and illocutionary uses of *potomu čto* can be found in Pekelis (2014); they are all generally in agreement with the analysis presented herein.

The second observation is that *tak kak* clauses and "illocutionary" *potomu čto* clauses, like coordinate clauses and unlike subordinate clauses, exhibit main clause phenomena (Hooper & Thompson 1973, Green 1976, Paducheva 1996). In (56), the past tense is used in the future sense. In (57), a special construction expressing something analogous to the rhetorical question in the English translation is employed. Both of these can normally only be found in main clauses, and their use in causal clauses, according to Kobozeva (2000), implies that the subordinate clauses in these examples comprise separate speech acts.

- (56) Moj posudu sama, potomu čto ja pošël. wash dishes yourself because I am.gone.away 'Wash the dishes yourself, because I am going away.' (lit. 'because I'm gone away') (Kobozeva 2000)
- (57) Vy sami vo vsëm vinovaty, **potomu čto oxota že** vam you yourselves in everything guilty because desire PTCL to.you **bylo** ženit'sja.

 was to.marry

'You yourselves are to blame for everything, **because why** did you have to marry?' (Kobozeva 2000)

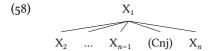
4.4.2 Syntax

At the syntactic level, we have to distinguish between two sets of diagnostics: those which are related to constituent structure (c-structure) and those which

are related to functional structure or dependency grammar (f-structure).

4.4.2.1 Constituent structure

The first set corresponds to linear order properties, specifically, the position of the conjunction and the level of embedding. These diagnostics correspond to the constituency-based definition of coordination and subordination (LFG's c-structure), as found, for example, in Testelets (2001). In informal terms, coordination is a symmetric structure, such that $X_{1...n}$ are all coordinate to each other in (58).



In a c-subordinating construction, one of the elements is properly subsumed by the other. In (59), Y is c-subordinate to X.

It is easy to see how the linear order-based diagnostics follow from these structures. Indeed, in a coordinating construction, neither of the conjuncts can be embedded within the other, by definition. A coordinating conjunction, if present at all, does not syntactically belong to any of the conjuncts; in a subordinating construction, it must belong to the subordinate element, because it cannot be a dependent on its own.

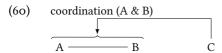
Therefore, the *potomu čto* construction must be classified as c-coordinating, as it allows no embedding, and the connective *čto* must be positioned strictly between the two clauses. Both kinds of behaviour are untypical for subordination in Russian and are, in fact, not observed with the other two causal constructions, which should be classified as c-subordinating.

4.4.2.2 Functional structure

The second set of syntactic properties is related to those definitions of coordination and subordination that refer to symmetry or asymmetry. A typical definition of this kind, albeit somewhat vague, is found in Haspelmath (2004: 3): "A construction [A B] is considered coordinate if the two parts A and B have

the same status (in some sense that needs to be specified further), whereas it is not coordinate if it is asymmetrical and one of the parts is clearly more salient or important, while the other part is in some sense subordinate".

Unfortunately, it is difficult to be more precise than Haspelmath's definition without using particular formal theoretical notions (which I will do in the next section). However, informally, it should be rather clear that in a construction that is coordinating in the dependency-based sense (i.e. f-coordinating), all elements are in some sense "co-dependent" on some other element if the construction is itself found in a subordinate position. This can be schematically represented as in (60), where A and B are coordinate, and both are co-subordinate (as a set) to some element C.



At the same time, dependency-based subordination (f-subordination) implies that only the superordinate clause takes part in the interaction with upper strata of the sentence. This can be represented as in (61), where B is subordinate to A, and only A is then visible to all upper parts of the dependency tree.

(61) subordination
$$(A \rightarrow B)$$

$$A \longrightarrow B$$

$$C$$

Thus, any operation that applies to a coordinating construction must either apply to all conjuncts at once or not apply at all; in a subordinating construction, such operations only apply to the main clause. This is, essentially, the motivation behind the Coordinate Structure Constraint and the rules of assigning mood, case and other categories to complex phrases.

In this understanding, all three constructions are f-subordinating, regardless of their semantics or linear order properties.

4.4.3 Informal conclusion

The central idea of my approach is that coordination and subordination in the sense of dependency or symmetry (f-coordination and f-subordination) are notions that are distinct from coordination and subordination in the sense of constituent structure (c-coordination and c-subordination), and both are distinct from coordination and subordination in the semantic sense. While

all the three causal constructions surveyed in this paper are f-subordinating, only *tak kak* and *poskol'ku* can be considered to be truly c-subordinating. And neither of these properties correlates with the semantic properties related to scope. The generalization can be represented in the following table:

connective	c-structure	f-structure	semantics
potomu čto	coordination	subordination	subordination / coordination
tak kak poskol'ku		subordination subordination	

The informal motivation behind these distinctions seems to be rather clear. However, in order to show how exactly the predictions follow from the analysis, a formalization is needed. I will briefly present it in the next section.

4.5 Formalization

In this section, I will generally reproduce the definitions in Belyaev (2014), which will then be applied to the Russian constructions in question.

4.5.1 Syntax

I define c-coordination in a rather straightforward way:

- Nodes *A* and *B* are **c-coordinate** iff all of the following are true:
 - *A* is the sister of *B*;
 - The category of A is the same as the category of B and the category of the immediately dominating node C;
 - All sisters of A and B either have the same category as A or have the category Cnj.

This defines the structure in (58). For the purposes of this paper, I ignore the possibility of the coordination of unlikes or non-constituent coordination.

In contrast, in c-subordination categorial information is only inherited from one of the nodes. In the LFG X' model of phrase structure, this can be handled by saying that the subordinate constituent occupies the complement, specifier or adjunct positions of the superordinate constituent's structure. In

LFG, an additional provision must be made for the non-endocentric category S, which is the only category not adhering to X' theory.

- A maximal projection *B* is **c-subordinate** to a maximal projection *A* iff both of the following are true:
 - A dominates B;
 - Every maximal projection that dominates B, if it is not B itself, dominates A.

Essentially, the definition states that a constituent (which must be a maximal projection) is c-subordinate to the nearest dominating maximal projection.

At f-structure, coordinate constituents are elements of a set while a subordinate constituent occupies an argument or adjunct position in the superordinate constituent's f-structure:

- Two f-structures f_1 and f_2 are **f-coordinate** iff they both belong to the same local f-structure sequence.³
- An f-structure f_2 is **f-subordinate** to an f-structure f_1 iff $(f_1 \text{ GF}) = f_2$, where $\text{GF} \equiv \{\text{SUBJ} \mid \text{OBJ} \mid \text{OBJ}_{\theta} \mid \text{OBL}_{\theta} \mid \text{COMP} \mid \text{XCOMP} \mid \text{ADJ} \in | \text{XADJ} \in \}$.

The way sets are handled in LFG ensures that a distributive feature (which include mood, grammatical relations and usually case), if taken of a set, must have the same value for all elements of this set. This ensures that any long-distance dependency that targets a coordinate set, including extraction relations, must apply equally to each member of a set. The same applies to case and mood assignment. Thus, the effects of the CSC and feature assignment in LFG stem from one source, which predicts that these diagnostics should never contradict each other.

4.5.2 Semantics

If the CI approach to coordination is adopted, the definitions of semantic coordination and subordination are rather clear: coordinating conjunctions introduce CIs (the at-issue meaning is just logical conjunction), while subordinating conjunctions introduce at-issue meanings. Thus:

³ The term is from Kuhn & Sadler (2007): essentially an ordered set. Required for single conjunct agreement and other phenomena.

- (62) [John came home and went to sleep] = [came_home(e_1 , j) \land slept(e_2 , j), and(came_home(e_1 , j), slept(e_2 , j))]
- (63) [When John came home, he went to sleep]] = [came_home(e_1 , j) \land slept(e_2 , j) \land $e_1 \prec e_2$, ϵ]

The implementation in LFG, using the system in D. Arnold & Sadler (2010) implementing the Pottsian notion of CI, is fairly straightforward:

(64) [and] =
$$\lambda P.\lambda Q.[P \wedge Q, and(P, Q)] : p_{\langle t \rangle} \multimap q_{\langle t \rangle} \multimap f_{\langle t \rangle} \otimes f_{\langle t^c \rangle}$$

Accordingly, the definition of s-coordination will be:

• The clauses f_1 and f_2 in the minimal f-structure g that contains both of them are s-coordinate iff the proof contains the expressions $P:(f_1)_{\sigma(t)}$, $Q:(f_2)_{\sigma(t)}$ and $[P \land Q, R(P,Q)]:g_{\sigma(t)} \otimes g_{\sigma(t^c)}$, where P and Q are logical formulae, R is some relation and P does not contain Q or vice versa.

Different kinds of s-subordinating constructions will not have much in common except for not being s-coordinating, i.e. not involving a conventional implicaturem, and involving some at-issue semantic relation.

The rhetorical relations approach is more difficult to directly implement in LFG due to the lack of a compositional version of SDRT. However, in purely representational terms, the definitions may still be provided, such as the following:

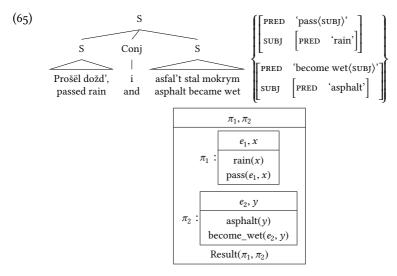
- Two clauses are s-coordinate iff they map to different speech act discourse referents which are linked by a rhetorical relation.
- One clause is **s-subordinate** to the other iff they are both found within a single SDRS corresponding to the same speech act, and are connected by a predicate linking their propositional content.

4.5.3 Short illustrations of various constructions and their structures

In this section, I will provide short illustrations of the structures for each of the constructions under consideration. I am using a simplified representation of Russian c-structure, which is adequate for the purposes of this paper; for a more detailed LFG analysis, see King (1995).

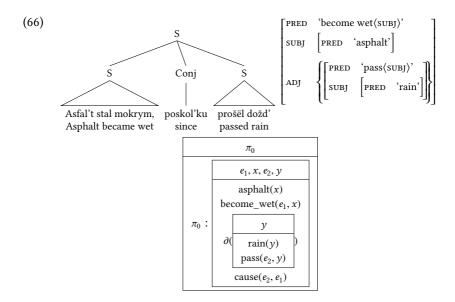
4.5.3.1 Canonical coordination

A canonically coordinating construction is classified as coordination at all three levels of grammar: c-structure, f-structure and semantic. Thus, in the following example, the c-structure is flat, the f-structure is a set and the semantics consists of two speech acts linked by a rhetorical relation:

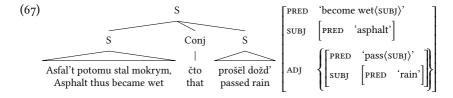


4.5.3.2 Causal constructions

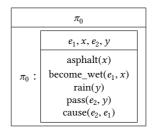
The only causal construction which is canonically subordinating is the *poskol'ku* 'since' construction. At the level of c-structure, the subordinate clause is embedded within the main clause as an adjunct (I assume that it is adjoined to VP; this may be contested but is not crucial for the central claim of the analysis). At f-structure, the clause is an adjunct and at c-structure, it is a presupposition that is linked to the main clause via an additional semantic predicate (∂ is the presupposition operator of Beaver (1992)). Both clauses are part of a single speech act (π_0).



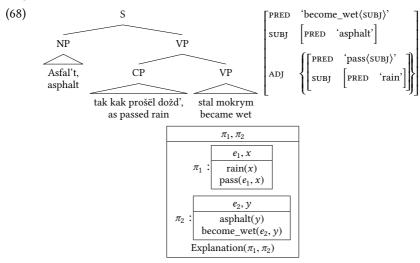
Potomu čto 'because' may be both semantically coordinating and subordinating. I will only illustrate the subordinating variant here. The only semantic difference from poskol'ku 'since', apart from a slightly different causal meaning (not shown here), is the fact that the subordinate clause is not presupposed. At f-structure, there are no differences. At c-structure, the construction is coordinating. The example provided below is of the "split" variant of the construction, as the existence of this variant demonstrates that it is čto 'that' that serves as the c-coordinating conjunction here; potomu 'for that reason' is merely a cataphoric element referencing the following clause.



Cause in Russian and the formal typology of coordination and subordination



Finally, *tak kak* 'as' also involves a mismatch, but of a different kind. In this construction, the semantics is coordinating, involving the rhetorical relation of Explanation between two speech acts. The f- and c-structure, however, are subordinating, as the construction is freely embeddable within the main clause and behaves as a subordinating construction according to all the f-structure diagnostics.



4.6 Conclusions

In this paper, I have applied the approach previously elaborated in Belyaev (2014) on the data of Ossetic to Russian causal constructions, the differences between which are a long-standing problem of Russian syntax. I have shown

that these constructions generally fit into the three-level approach, and the allowance of mismatches between the three levels explains their otherwise puzzling properties.

These results, especially the semantic classification of the constructions, are not new; similar ideas have already been proposed in Russian linguistics. However, it is important to highlight the usefulness of distinguishing between different levels. This allows us to separate those properties which are truly semantic from those properties which belong to the area of syntax. In particular, various properties related to extraction and anaphora have long been believed to be directly reflecting semantics, in large part due to the influence of Culicover & Jackendoff (1997) and later work on the topic. The data of Russian show that, whatever semantic approach one adopts, these properties are in fact logically independent from the meanings of the constructions in question. At the same time, they are also distinct from those properties which are related to constituency or linear order, and are thus situated at a level intermediate between syntax and semantics: a kind of dependency-based structure.

In this paper, I have used LFG's c- and f-structures as the constituency-based and dependency-based representations, respectively. While c-structure is a conventional syntactic tree, f-structure is a level unique to LFG. In principle, corresponding representations in other frameworks, such as the deep syntactic structure of Meaning Text Theory, or HPSG's SYNSEM, should also be able to reflect the relevant generalizations. But this does not mean that the analysis is translateable to any framework. The key features of LFG that make this analysis possible are the clear separation between constituency- and dependency-based syntax and a rather unconstrained, almost construction-based, approach to the interface between syntax and semantics. The importance of these features for any grammatical theory which aims to capture the whole complexity of the coordination vs. subordination distinction is one of the more broadly relevant claims of this paper.

Another claim that has wider importance is that a multi-level approach must be combined with a proper truth-conditional semantic theory instead of the more representational approach of, *inter alia*, Culicover & Jackendoff (1997), Yuasa & Sadock (2002) in order to account for the data. When such a theory is used, the semantic distinctions involved in the coordination vs. subordination opposition can be described in ways which do not directly correspond to the traditional symmetry vs. asymmetry distinction: either as the opposition between at-issue meanings and conventional implicatures, or as the opposition between rhetorical relations connecting separate speech acts and

asserted predicates connecting abstract objects (facts, events or propositions). While similar ideas have been expressed in functionally oriented work (for example, in the communicative approach of Pekelis 2009), a key advantage of this approach is that it is formally explicit; therefore, analyses of particular constructions in individual languages are comparable among each other and lead to clear and testable predictions for each language.

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